



## Approved for Lee Hrough 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/855.710 **Application Number** TRANSMITTAL **Filing Date** May 16, 2002 FORM First Named Inventor BENNEKER et al. Group Art Unit (to be used for all correspondence after initial filing) 1625 CHANG, C. **Examiner Name** POT-010US3 5 Attorney Docket Number Total Number of Pages in This Submission ENCLOSURES (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer Response to Notice to File **Express Abandonment Request Corrected Application Papers** Request for Refund and Substitute Specification (31 Information Disclosure Statement pages) CD, Number of CD(s) \_ Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Mark R. Buscher Reg. No. 35,006 Individual name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

## Typed or printed name Date Signature

HE UNITED STATES PATENT AND TRADEMARK OFFIC**FEB 0** 

TECH CENTER 1600/2900 Atty. Docket: POT-010US3

In re application of: BENNEKER, et al.

Application No.: 09/855,710

Filed: May 16, 2001

For: 4-Phenylpiperdine Compounds

Examiner: Chang, C.

Group Art Unit: 1625

## **Information Disclosure Statement Pursuant To 37 C.F.R. § 1.97(b)**

**Assistant Commissioner for Patents** Washington, D.C. 20231

February 1, 2002

Sir:

Applicants hereby direct the attention of the Patent and Trademark Office to the documents listed on the attached Form PTO-1449. Applicants respectfully request that these documents be expressly considered during the prosecution of this application, be made of record therein, and appear among the "References Cited" on any patent to issue therefrom. A copy of each listed document was previously submitted to or cited by the U.S. Patent and Trademark Office in connection with the parent application U.S. Serial Nos. 08/872,023 and 09/200,743. Accordingly, a copy is not required to be submitted herewith. 37 C.F.R. 1.98(d). However, should the Examiner desire to have a copy of one or more of the enclosed listed documents, she is encouraged to contact applicants' undersigned representative and the desired copies will promptly be forwarded to her.

BENNEKER, et al. 09/855,710

As the Examiner is aware, the submission of this statement is not to be construed as an admission that any document is prior art to the present invention or that any document is material to patentability as defined in rule 56.

This Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(b), before the first Office Action on the merits. Therefore, no fee is due.

Respectfully submitted,

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